

Docket No. IL-10797Customer No. 24981

Commissioner for Patents
Washington, DC 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):
Paul R. Coronado, Lawrence W. Hrubesh and John G. Reynolds

For (title): **METHOD OF OIL SPILL RECOVERY USING HYDROPHOBIC SOL-
GELS AND AEROGELS**

1. Type of Application

- ☒ This new application is for an original patent.
- ☐ This new application is a:
- ☐ Division
 - ☐ Continuation
 - ☐ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s).

3. ☐ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below: 60/292,194 5/18/2001
Application Serial No. Filing Date

4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

11 Pages of specification
3 Pages of claims
1 Pages of abstract
0 Sheets of drawings
☒ formal

5. Additional papers enclosed

- ☐ Preliminary Amendment
☒ Information Disclosure Statement
☒ Form PTO-1449

6. **Declaration or oath**

- ☒ Enclosed and executed by
 ☒ inventors
 ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
☐ Not Enclosed

7. **Assignment**

- ☒ An assignment of the invention to The Regents of the University of California.
 ☒ is attached
 ☐ will follow

8. **Certified Copy**

Certified copy(ies) of application(s)

| | | |
|-----------|-------------------|---------|
| (country) | (application no.) | (filed) |
| (country) | (application no.) | (filed) |

from which priority is claimed

- ☐ is(are) attached.
☐ will follow

9. **Fee Calculation**

| CLAIMS AS FILED | | | | | |
|--------------------|--------------|-----------------------|--------------|--------------------------|-------------|
| Type of Claim | Number Filed | Included in Basic Fee | Number Extra | Rate | Total Fee |
| Total Claims | 21 | -20 = | 1 x | \$18 = | \$ 18.00 |
| Independent Claims | 2 | -3 = | 0 x | \$80 = | \$ 00.00 |
| | | | | Multiple Claims | = \$ |
| | | | | Basic Filing Fee | = \$ 710.00 |
| | | | | Sub-Total | = \$ 728.00 |
| | | | | Small Entity Filing Fee= | \$ 364.00 |

10. **Small Entity Statement(s)**

- ☒ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is attached.

Filing Fee Calculation (50% of regular filing fee) \$ 364.00

11. **Fee Payment**

- ☐ Not Enclosed
☒ Enclosed (See Account No. Below)

Total Basic Filing Fees To Be Paid \$ 364.00

12. **Method of Payment of Fees**

- ☐ Check in the Amount of \$ _____
☒ Charge Account No. 12-0695 in the amount of \$ 364.00

A duplicate of this transmittal is attached.

13. **Instructions As To Overpayment/Underpayment**

- ☒ credit/charge
Account No. 12-0695
☐ refund



Alan H. Thompson

ATTORNEY

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Dated: 9/18/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Paul R. Coronado et al.

Attorney Docket No.: IL-10797

Serial No. :

Art Unit:

Filed :

Examiner:

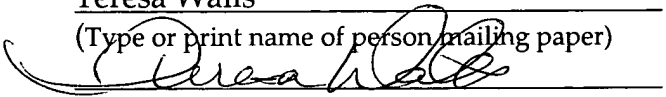
For : METHOD OF OIL SPILL RECOVERY USING HYDROPHOBIC SOL-
GELS AND AEROGELSCommissioner for Patents
Washington, D.C. 20231EXPRESS MAIL CERTIFICATE"Express Mail" label number EL534385900USDate of Deposit 9/21/2001I hereby certify that the following *attached*

1. Recordation Cover Sheet
2. Assignment
3. New Application Transmittal (in duplicate)
4. Application
(Specification 11 pages, Claims 3 pages, Abstract 1 page)
Combined Declaration and Power of Attorney
5. Verified Statement Claiming Small Entity Status
6. Information Disclosure Statement, Form PTO-1449, 18 US Patents
and 2 Foreign references and 3 other Disclosures
7. Certificate of Mailing
8. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231.

Teresa Walls

(Type or print name of person mailing paper)


(Signature of person mailing paper or fee)